

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dean Furbush et al. Art Unit : 3695
Serial No. : 09/903,388 Examiner : Narayanswamy Subramanian
Filed : July 9, 2001 Conf. No. : 9349
Title : ORDER PROCESSING FOR AUTOMATED MARKET SYSTEM

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INTERVIEW SUMMARY

The undersigned representative thanks the Examiner for the courtesy of the telephonic interview held on November 20, 2009. This interview was previously scheduled for November 18, 2009 but was postponed due to technical difficulties.

The substance of the interview included a discussion of claims 1 and 29 and the rejection of record under 35 U.S.C. § 103 over NASD Rulemaking: Relating to an Integrated Order Delivery and Execution System. During the interview, the Applicant discussed how claim 1 distinguished over the NASD reference. In particular, Applicant asked the examiner to show Applicant where NASD described: "a display ... rendering a graphical user interface ... the graphical user interface allowing the user to ... to choose a priority type for how the order interacts with contra side quotes/orders in the trading venue."

No agreement was reached.

The examiner also indicated that a restriction could be in order as sub-combinations usable together but did not set forth any particular reasoning. Applicant believed that no such restriction was appropriate for the present set of claims.

CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: December 29, 2009

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No fee is due.

Respectfully submitted,

Date: December 29, 2009

/Denis G. Maloney/

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